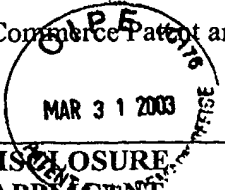


<b>FORM PTO-1449</b> U.S. Department of Commerce Patent and Trademark Office <div style="text-align: center;">  </div>	<b>Docket No.</b> DIVER1280-14	<b>Serial No.:</b> 09/894, 956
	<b>Applicant(s):</b> William Michael Lafferty	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	<b>Filing Date:</b> June 27, 2001	<b>Group Art Unit:</b> 1634

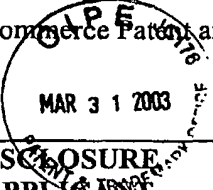
### U.S. PATENT DOCUMENTS

EXAM. INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>M</i>	4,276,048	06/30/81	Leaback			
	4,621,059	11/04/86	Rokugawa			
	5,853,984	12/29/88	Davis and Gold			
	5,274,240	12/28/93	Mathies et al.			
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<b>EXAMINER</b> <i>M</i>	<b>DATE CONSIDERED</b> <i>Oct. 03</i>
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.


Form 1449

<b>FORM PTO-1449</b> U.S. Department of Commerce Patent and Trademark Office <div style="text-align: center;">  </div>	<b>Docket No.</b> DIVER1280-14	<b>Serial No.:</b> 09/894, 956
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	<b>Filing Date:</b> June 27, 2001	<b>Group Art Unit:</b> 1634

W		6,086,740	07/11/00	Kennedy			
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R		6,306,578 B1	10/23/01	Schellenberger and Liu			

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	WO 97/45730 A1	12/04/97	PCT US			
	WO 98/04920	02/05/98	PCT US			
	WO 98 28075 A1	07/02/98	PCT IB			
	WO 98/38490 A1	09/03/98	PCT US			
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	WO 99/34920	07/15/99	PCT US			
	WO 99/39191 A1	09/05/99	PCT EP			
R	WO 99/49294 A2	09/30/99	PCT US			

<b>EXAMINER</b> <div style="text-align: center;">  </div>	<b>DATE CONSIDERED</b> Oct 03
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	<b>Filing Date:</b> June 27, 2001	<b>Group Art Unit:</b> 1634



**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)**

	Wittrup et al., "Microencapsulation Selection for Isolation of Yeast Mutants with Increased Secretion of <i>Aspergillus awamori</i> Glucoamylase," <i>Biotechnology and Bioengineering</i> , 42 (3):351-356 (1993)
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<b>EXAMINER</b> 	<b>DATE CONSIDERED</b> Oct. 03
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